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PTO/SB/21 (02-09)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

10/552,512

Filing Date

07/31/2006

First Named Inventor

Norbert Steiner

Art Unit

1714

Examiner Name

unknown

Attorney Docket Number

7083

ENCLOSURES (Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/Incomplete Application

☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53


Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a



Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s)



Landscape Table on CD

Remarks

☐ After Allowance Communication to TC☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify
below):

Statement under 37 CFR 3.73(b)

Memorandum

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Ashland Licensing and Intellectual Property LLC

Signature

David L. Hedden

Printed name

David L. Hedden

Date

March 5, 2009

Reg. No.

29,388

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Martha L. Bleivins

Typed or printed name

Martha L. Bleivins

Date

March 17, 2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAR 17 2009

PTO/SB/01 (01-09)

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POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	10/552,512
	Filing Date	07/31/2006
	First Named Inventor	Norbert Steiner
	Title	HYDROSOLUBLE POLYMERS EXHIBIT
	Art Unit	1714
	Examiner Name	unknown
	Attorney Docket Number	7083

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

67348

OR

☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

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Address

City

Country

State

Zip

Telephone

Email

I am the:

☐ Applicant/Inventor.

OR

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) (Form PTO/SB/06) submitted herewith or filed on

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Vernon F. Venne</i>	Date	March 5, 2009
Name	Vernon F. Venne	Telephone	614-790-3975
Title and Company	President, Ashland Licensing and Intellectual Property LLC		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAR 17 2009

PTO/SB/06 (02-09)
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Ashland Licensing and Intellectual Property LLC

Application No./Patent No.: 10/552,512

Filed/Issue Date: 07/31/2006

Titled: HYDROSOLUBLE POLYMERS EXHIBITING IMPROVED SOLUBILITY CHARACTERISTICS, THE PRODUCTION AND USE THEREOF

Ashland Licensing and Intellectual Property LLC, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Stockhausen GmbH

To: Ashland Licensing and Intellectual Property LLC

The document was recorded in the United States Patent and Trademark Office at

Reel 017870

Frame 0643

or for which a copy thereof is attached.

2. From: N. Steiner, G. Herth, K. Zimmerman, et al.

To: Stockhausen GmbH

The document was recorded in the United States Patent and Trademark Office at

Reel 020296

Frame 0814

or for which a copy thereof is attached.

3. From:

To:

The document was recorded in the United States Patent and Trademark Office at

Reel _____

Frame _____

or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Vernon F. Venne
Signature

March 5, 2009

Date

Vernon F. Venne

President

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED
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MAR 17 2009**

In re application of: Norbert Steiner, et al.

Serial No.: 1110/552,512

Examiner: Unknown

Filed: October 6, 2005

Art Unit: 1714

Docket No.: Case 7083

Title: HYDROSOLUBLE POLYMERS EXHIBITING IMPROVED SOLUBILITY
CHARACTERISTICS, THE PRODUCTION THEREOF AND USE THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**MEMORANDUM IN CONNECTION WITH REVOCATION
OF POWER OF ATTORNEY**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

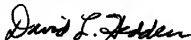
Dear Sir:

Enclosed is a signed are Form PTO/SB/81(01-09) revoking the current Power of Attorney and executing a new Power of Attorney, and Form PTO/SB/96(01-09), which is a Statement Under 37 C.F.R. § 3.73 (b) related to the chain of title for the subject application. These forms were previously submitted, but Applicants' attorney discovered that the documents were not signed, so he is re-submitting them.

-2-

Although Applicants do not believe any fees are due, Applicants' attorney authorizes the Commissioner to charge deposit account #01-2530 for additional fees that may be due to continue prosecution of this application

Respectfully submitted,



David L. Hedden

Registration No. 29,388

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